



COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231 www.uspto.gov

APPLICATION NUMBER FILING/RECEIPT DATE FIRST NAMED APPLICANT ATTORNEY DOCKET NUMBER

10/007,637

10/18/2001

Richard H. Thompson III

DRC0001

**CONFIRMATION NO. 9979** 

Date Mailed: 03/13/2002

**FORMALITIES LETTER** 

OC000000007627074\*

Kent A. Lembke, Esq. Hogan & Hartson, LLP **Suite 1500** 1200 17th Street Denver, CO 80202

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 370 to complete the basic filing fee for a small entity.
- Total additional claim fee(s) for this application is \$36.
  - \$36 for 4 total claims over 20.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 471.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

음음음 35/16/2002 RMEBRAHT 00000079 10007637





05-14-02

Attorney Docket No. DRC0001 Client/Matter No. 80690.0003 EXPRESS MAIL NO. EL954331668US

SHP

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Richard H. Thompson, et al.

Serial No. 10/007,637

Filed: October 18, 2001

For: METHOD FOR MANAGING WIRELESS COMMUNICATION DEVICE USE INCLUDING OPTIMIZING RATE AND SERVICE PLAN SELECTION

Group Art Unit: 2642

Examiner:

## CERTIFICATE OF MAILING BY EXPRESS MAIL

BOX MISSING PARTS Commissioner for Patents Washington, D.C. 20231

Sir:

The undersigned hereby certifies that the following documents:

- Copy of Notice to File Missing Parts dated March 13, 2002;
- Declaration (executed);
- Assignment and Recordation Cover Sheet w/check in the amount of \$40.00;
- Fee Transmittal w/check in the amount of \$471.00;
- Certificate of Mailing by Express Mail; and
- Return Receipt Postcard

relating to the above application, were deposited as "Express Mail", Mailing Label No. EL954331668US with the United States Postal Service, addressed to The Commissioner for Patents, Washington, D.C., 20231 on May 13, 2002.

5/13/02

Date

5/13/02

Date

waller

Kent A. Lembke, Reg. No. 44,866

HOGAN & HARTSON LLP

One Tabor Center

1200 17th Street, Suite 1500 Denver, Colorado 80202

(720) 406-5378 Tel

(720) 406-5301 Fax

## FEFEE TRANSMITTAL for FY 2002

MAY 1 3 2002 &

TAL AMOUNT OF PAYMENT (\$)

(\$) 471.00

Complete if Known				
Application Number	10/007,637			
Filing Date	October 18, 2001			
First Named Inventor	Richard H. Thompson, III			
Examiner Name				
Group / Art Unit	2642			
Attorney Docket No.	DRC0001			

METHOD OF PAYMENT (check one)		FEE CALCULATION (continued)			
1. The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:		3. ADDITIONAL FEES			
Deposit Account Number	50-1123	Large Entity Fee Fee Code (\$)	Small Entity Fee Fee Code (\$)	Fee Description	Fee Paid
Deposit Account Name  Hogan & Hartson L.L.P.		105 130 127 50 139 130	205 65 227 25 139 130	Surcharge – late filing fee or o Surcharge – late provisional fil fee or cover sheet Non-English specification	
Charge Any Additional Fee Required Under 37		147 2,520	147 2,520	For filing a request for ex parte reexamination	•
CFR § § 1.16 and 1.17  Applicant claims small entity status. See 37 CFR 1.27		112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
2. 🔀	Payment Enclosed:  Other	113 1,840°	113 1,840° 215 55	Requesting publication of SIR after Examiner action Extension for reply within first	
	Check Credit Money Card Order			month	
•	FEE CALCULATION	116 400	216 200	Extension for reply within second month	
1. BASIC	FILING FEE	117 920	217 460	Extension for reply within third month	
Large Entity Fee Fee Code (\$)	Small Entity Fee Description Fee Fee Fee Fee Fee Fee Paid Code (\$)	118 1,440	218 720	Extension for reply within fourt month	h
101 740	201 370 Utility Filing Fee 370.00	128 1,960	228 980	Extension for reply within fifth month	
106 330	206 165 Design filing fee	119 320	219 160	Notice of Appeal	
107 510	207 255 Plant filing fee	120 320	220 160	Filing a brief in support of an appeal	
108 740	208 370 Reissue filing fee	121 280	221 140	Request for oral hearing	
114 160	214 80 Provisional filing fee	138 1,510	138 1,510	Petition to institute a public use proceeding	e
	SUBTOTAL (1) (\$)370.00	140 110 141 1,280	240 55 241 640	Petition to revive – unavoidabl Petition to revive – unintention	
2. EXTRA CLAIM FEES		142 1,280	242 640	Utility issue fee (or reissue)	
	Fee from Fee Paid Extra Claims below	143 460	243 230	Design issue fee	
Total Claims	24 -20**= 4 X 9 = 36.00	144 620	244 310	Plant issue fee	
Independent Claims	3 -3**= 0 X = 0	122 130	122 130	Petitions to the Commissioner	
Multiple Dep	endent = 0	123 50	123 50	Processing fee under 37 CFR 1.17(q)	
**or number pr	eviously paid, if greater; For Reissues, see below	126 180	126 180	Submission of Information Disclosure Stmt	
Large Entity Fee Fee Code (\$)	Small Entity Fee Fee Fee Description Code (\$)	581 40	581 40	Recording each patent assignment per property (time: number of properties)	s
103 18	203 9 Claims in excess of 20	146 740	246 370	Filing a submission after final rejection (37 CFR § 1.129(a))	
102 84	202 42 Independent claims in excessor 3	149 740	249 370	For each additional invention t	
104 280	204 140 Multiple dependent claim, if not paid	179 740	279 370	be examined (37 CFR §1.129) Request for Continued	"
109 84			169 900	Examination (RCE) Request for expedited examination of a design	
110 18			specify)	application	
SUBTOTA	UBTOTAL (2) (\$)36.00		y Basic Fling	Fee Paid SUBTOTAL (	3) (\$)65.00
SUBMITTED BY Complete (if applicable)					
Name (Print/			ation No. ey/Agent)	44,866 Telephone	(720) 406-5378
Signature Mat 2 the 5/13/02					

## PEFEE TRANSMITTAL Application Number 10/007,637 Filing Date October 18, 2001 First Named Inventor Richard H. Thompson, III Examiner Name Group / Art Unit 2642 Attorney Docket No. DRC0001

METHOD OF PAYMENT (check one)		FEE CALCULATION (continued)				
1. The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:		3. ADDITIONAL FEES				
Deposit Account Number	50-1123	Large Entity Small Entity Fee Fee Fee Fee Code (\$) Fee Description Fee Paid				
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<u>~</u>	Check Credit Money Card Order	after Examiner action 115 110 215 55 Extension for reply within first month				
•	FEE CALCULATION	116 400 216 200 Extension for reply within second month				
1. BASIC I	FILING FEE	117 920 217 460 Extension for reply within third month				
Large Entity Fee Fee Code (\$)	Small Entity Fee Description Fee Fee Code (\$)	118 1,440 218 720 Extension for reply within fourth month .				
101 740	201 370 Utility Filing Fee	128 1,960 228 980 Extension for reply within fifth month				
106 330	206 165 Design filing fee	119 320 219 160 Notice of Appeal				
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114 160	214 80 Provisional filing fee	138 1,510 138 1,510 Petition to institute a public use proceeding				
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	Fee from Fee Paid Extra Claims below	143 460 243 230 Design issue fee				
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Multiple Depe	endent = 0	123 50 123 50 Processing fee under 37 CFR 1.17(q)				
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Code (\$)	Code (\$)	146 740 246 370 Filing a submission after final rejection (37 CFR § 1.129(a))				
103 18 102 84	203 9 Claims in excess of 20 202 42 Independent claims in excessof 3	149 740 249 370 For each additional invention to be examined (37 CFR §1.129(b))				
104 280	204 140 Multiple dependent claim, if not paid	179 740 279 370 Request for Continued				
109 84	209 42 **Reissue independent claims over original patent	Examination (RCE)  169 900 169 900 Request for expedited  examination of a design				
110 18	210 9 "Reissue claims in excess of 20 and over original patent	application Other fee (specify)				
SUBTOTAL	L (2) (\$)	*Reduced by Basic Fling Fee Paid SUBTOTAL (3) (\$)40.00				
SUBMITTED BY Complete (if applicable)						
Name (Print/		Registration No. (Attorney/Agent) 44,866 Telephone (720) 406-5378				
Signature Let Jelle 5/13/02						